

## CFD AUTHORISED AGENT (AUTHORISED PERSON) form

### Client Account Details

Client Name (for example, ABC Pty Ltd): \_\_\_\_\_

If Existing Client:

Account Number (please provide):

### Authorised Agents

(tick for yes) Rivkin Securities and its appointed representatives

OR

Other, including directors, trustees or partners (where applicable) or other third parties  (specify below)

AGENT 1

AGENT 2

AGENT 3

Title: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

Surname: \_\_\_\_\_

Surname: \_\_\_\_\_

Surname: \_\_\_\_\_

Given Name(s): \_\_\_\_\_

Given Name(s): \_\_\_\_\_

Given Name(s): \_\_\_\_\_

Driver's Licence No.: \_\_\_\_\_

Driver's Licence No.: \_\_\_\_\_

Driver's Licence No.: \_\_\_\_\_

Residential Address (PO Box not allowed): \_\_\_\_\_

Residential Address (PO Box not allowed): \_\_\_\_\_

Residential Address (PO Box not allowed): \_\_\_\_\_

Date of Birth:     /     /

Date of Birth:     /     /

Date of Birth:     /     /

Phone: \_\_\_\_\_

Phone: \_\_\_\_\_

Phone: \_\_\_\_\_

Email: \_\_\_\_\_

Email: \_\_\_\_\_

Email: \_\_\_\_\_

Signature: \_\_\_\_\_

Signature: \_\_\_\_\_

Signature: \_\_\_\_\_

### Identification (of Authorised Agent)

We are required to verify your identity prior to opening your account. We can verify your identity by conducting an electronic verification check.

Providing your driver's licence number will help us to conduct your electronic verification check. We will not use your driver's licence number for any other purpose other than to conduct your electronic verification check.

If we notify you that your electronic verification check was unsuccessful, or you do not wish for us to conduct an electronic verification check, you'll need to provide us with certified copies of original identification, verifying your full name, your current residential address and your date of birth. For details of documents you'll need to provide, go to [cmcmarkets.com.au](http://cmcmarkets.com.au), click 'Open an account' and view 'Your ID requirements', or contact us.

## Authorisation

This Authorised Agent (Authorised Person) Form is notification by the Client to CMC Markets of the appointment of the above person(s) as Authorised Agent(s) of the Client pursuant to the CFD Product Disclosure Statement ('PDS'). This appointment is effective from the date CMC Markets receives this authority and supersedes any previous authority provided by the Client to CMC Markets.

The Client authorises the Authorised Agent(s) to operate all existing and future Accounts, in respect of which the Client is listed in CMC Markets' records as an account holder (whether solely or jointly), including but not limited to a CFD Account, Shield CFD Account and DMA CFD Account, as those terms are defined in the PDS (together the Accounts), and to do and execute all acts, documents and things in connection with the Accounts, including, without limitation:

1. to make withdrawals to the Linked Bank Account;
2. to receive statements in respect of the Accounts;
3. to operate and enter into agreements to operate the Accounts on behalf of the Client;

4. to acquire, buy, deal in, dispose of or sell any contracts for difference (CFDs);
5. to execute all rights and privileges and perform all duties and obligations which may now or in future pertain to the Client as holder of CFDs; and
6. to accept and act upon any instructions issued by the Authorised Agent as contemplated by this authority.

The authority of the Authorised Agent(s) expressly excludes any of the following in relation to the Accounts:

1. to provide instructions to CMC Markets to amend the Client's log in and/or password;
2. to provide new or amend existing Linked Bank Account details.

## Acknowledgements and undertakings

The Client acknowledges that:

1. any operation of any of the Client's Accounts will be binding on the Client;
2. this authority in relation to the Client's Accounts will bind the Client until the Client expressly revokes it in writing and the revocation is received by the CMC Markets;
3. CMC Markets may rely on any communication from the Authorised Agent without further enquiry, if it is given, or apparently given, by the Authorised Agent;
4. the Client remains solely liable and responsible for all acts and omissions of the Authorised Agent notwithstanding the act or omission of the Authorised Agent was:
  - (a) outside the Client's actual or ostensible authority; or
  - (b) in error, fraudulent, negligent, in breach of the Authorised Agent's fiduciary duties or criminal;
5. the Client agrees not to make, and releases CMC Markets from any right the Client may have to make, any Claim against CMC Markets for any loss incurred or suffered by the Client which may arise in connection with any act or omission by the Authorised Agent.

The Client undertakes to ratify whatever the Authorised Agent will lawfully do or cause to be done in accordance with this authority.

Date: \_\_\_\_ / \_\_\_\_ /20\_\_\_\_

**Individual / Director 1**

**Individual / Director 2**

Client Signature(s) \_\_\_\_\_

Client Signature(s) \_\_\_\_\_

Title (if company) \_\_\_\_\_

e.g. director, secretary,  
officer

Title (if company) \_\_\_\_\_

e.g. director, secretary,  
officer